

Sector Governance and Regulation for Nationwide Full Coverage of Water Supply Service

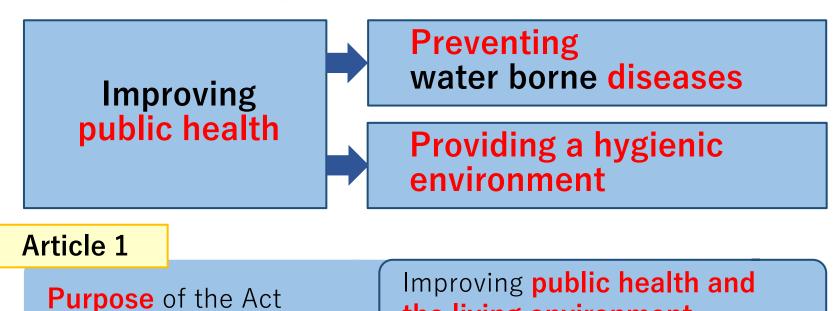


No. T1 Ver. 1

The Ministry of Health, Labour and Welfare; regulatory agency of water supply (August 12, 2016)

2. Purpose of Water Supply: Public Health

(1) Public Health Objective



Article 2

Responsibilities of the National Government and local governments

Waterworks are indispensable for the protection of human health

the living environment

2. Purpose of Water Supply: Public Health

(2) Water Quality and Facility Standards to Secure Public Health

Safeguarding public health

Drinking water quality standards

Facility standards

Article 4

Drinking water quality standards

- Absence of contaminants
 Pathogens, Toxic substances
- Free of excessive metal substances Copper, Iron, etc.

Article 5

Facility standards

- Location and arrangement for economical and easy practical operation and maintenance
- Structures and materials withstanding water pressure, earth pressure, seismic forces, etc.
- Free of water contamination and less leakage

3. Historical Path towards Nationwide Coverage

(1) Public Ownership

- 1890 Waterworks Ordinance
 - → Water supply service by public ownership and responsibility
- 1946 The Constitution of Japan
 - → Article 25 right to life clause → water supply for all
- 1957 Water Supply Act
 - → Water supply for all including rural population
 - → Large investment for Small Scale Public Water Supply in all municipalities

Article 25 of the Constitution of Japan

All people shall have the right to maintain the minimum standards of wholesome and cultured living. In all spheres of life, the State shall use its endeavors for the promotion and extension of social welfare and security, and of public health.

Goal 6 of SDGs;

Sustainable Development Goals

Ensure availability and sustainable management of water and sanitation for all.

3. Historical Path towards Nationwide Coverage

(3) Development of Small Waterworks in Rural Areas

Small scale water supply by residents in communities



Small Scale Public Water Supply (population served 101-5000) by municipal governments

Туре	planned service population	Accounting system	Funding source	Location
Municipal Water Supply	≥5001	Public enterprise accounting system	(mainly) Bond floatation	Urban area
Small Scale Public Water Supply	101-5000	General account	National subsidy and Bond floatation	Rural area
Facility for Drinking Water Supply	≦100	Self-financing by local residents	Joint investment by users and communities	Rural area

- 3. Historical Path towards Nationwide Coverage
- (3) Development of Small Waterworks in Rural Areas

Enforcement of the Water Supply Act

- Nationwide full coverage of water supply service
- National subsidy for small scale public water supply systems

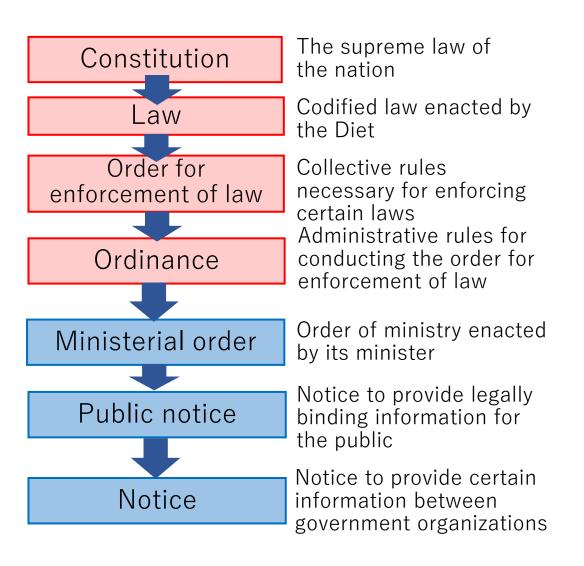
Human resource development

- Education for staff of prefectural governments
- They support planning and design of small scale public water supply

Leadership by mayors

- Promotion of water supply for improvement of living conditions in their villages
- Effective utilization of national subsidy

(1) Legal System



The examples concerning the Water Supply Act

Water Supply Act

Order for Enforcement of Water Supply Act

Ordinance for Enforcement of the Water Supply Act

Ministerial Ordinance on Drinking Water Quality Standards

Public notice; Method as Specified by the Ministerial Ordinance on Water Quality Standards

Notice concerning partial revisions of the water quality standards

(2) Approval (License) System of the Waterworks

1890 Waterworks Ordinance

- Public ownership principle
- Approval(License)
- Safe water supply



1957 Water Supply Act

- Public ownership principle
- Approval(License)
- Safe water supply
- Water quality standards
- Water supply facility standards
- Technical administrator of waterworks

Approval(License) for waterworks

- **Special license** for waterworks issued by the national government (or the prefectural governor). Licensing aims to make sure operators are able to deliver a continuous, stable, safe and clean supply of water.
- Application for Approval (License) requires the following;
 - Water volume and water quality of source
 - Maps and drawings of water supply facilities
 - Total amount of construction expenses and their planned funding source,
 - Construction period
 - Water tariff and management plan

Information provided in the application for Approval(License) is basis for a master plan (Project plan)

- Service area, population and water supply volume
- Outline of planned water supply facilities
- Planned date for start of water supply
- Construction expenses and finance
- Balance of income and expense
- Water tariff

(Construction design plan)

- Volumes of daily water supply
- Type of water sources and water intake points
- Capacity and quality of water resource
- Location of water supply facilities
- Water purification process
- Pressure of water distribution pipes
- Scheduled dates of commencement and completion for construction works

Attached documents;

- Evidence to explain reliability of raw water intake
- Location of water supply facilities
- Water source
- Layout plan, elevation, section and structural drawing for main water supply facilities
- Layout plan and longitudinal section for transmission and distribution pipelines

Criteria for license Article 8 of the Water Supply Act

Licensing of water supply services can be rejected, unless the application meets the following requirements:

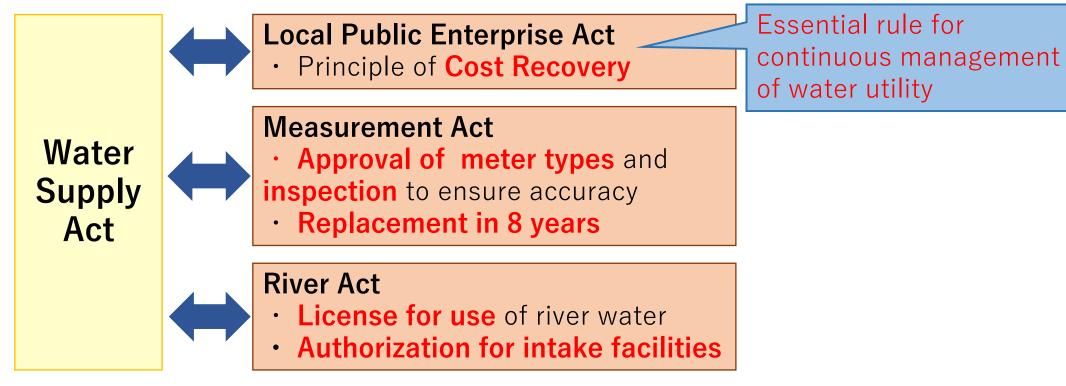
- The commencement of water supply services is in accordance with the general demand of the community.
- The plan for the water supply services is certain and reasonable.
- Designs for construction of water supply facilities meet the Water Supply Facility Standard.
- The water supply service area does not overlap the service area of any other water suppliers.
- Water supply conditions meet requirements of articles of the Water Supply Act.
- In the case of water supply services applied by entities other than local public entities, there exists a certain financial foundation capable of performing the water supply services.
- The commencement of water supply services is required from a viewpoint of public interest.

Waterworks Ordinance (1890)

- The first modern water supply system in Japan began supplying water in Yokohama city in 1887 and shortly thereafter in several other cities. Among these, some systems were installed privately and fell short of the facility standard or were poorly managed. Under these circumstances, the *Waterworks Ordinance* was promulgated in 1890.
- "Public ownership principle" and "Approval(License)" had been already defined in the *Waterworks Ordinance* and these concepts were further elaborated by the *Water Supply Act*.
- Article 2: Municipalities may not install a water supply facility without public funds.
- Article 3: Municipalities must provide a prospectus containing the following details through a prefectural governor to the Home Minister for Approval (License) to install a waterworks system.
- Article 10: Anyone who has access to water supply services may request the mayor to test water quality and to check the volume.

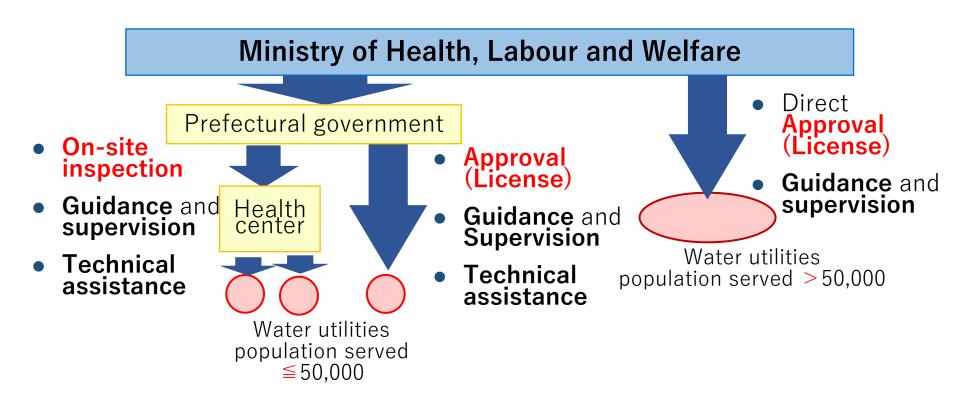
(3) Other Relevant Laws

In addition to the Water Supply Act, some related acts supporting waterworks play an important role in operating waterworks and constructing facilities.



(4) Administrative Framework

- Detailed rules of the Water Supply Act
 - →Order for Enforcement of Water Supply Act, Ordinance for Enforcement of the Water Supply Act
- Administrative guidance for rational execution of law is conducted as necessary based upon ministerial order, public notice and public notice of the Ministry of Health, Labour and Welfare, etc.

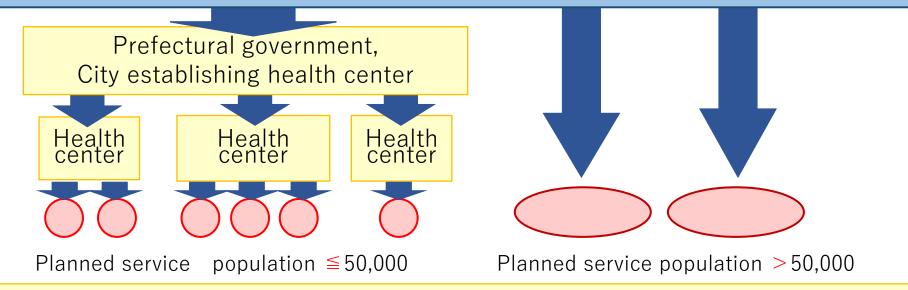


Japanese Administrative System for Water Supply

National Government: the Water Supply Division of the Ministry of Health, Labour & Welfare

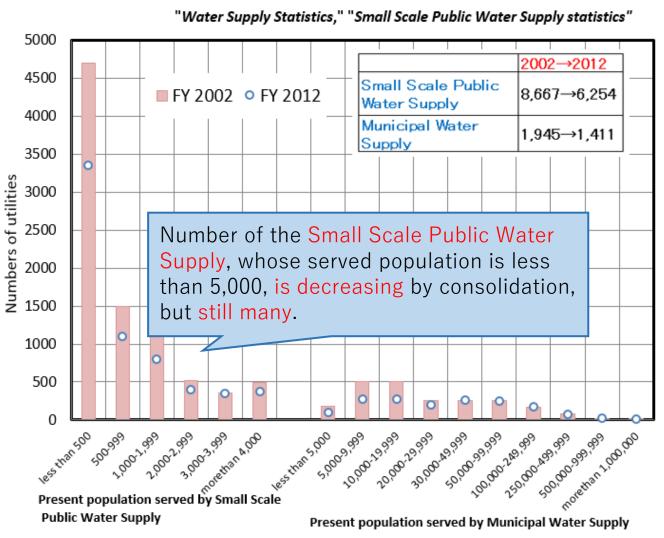
Ministerial order: Ministerial ordinance on Drinking Water Quality Standards (Order of the Ministry of Health, Labour and Welfare No. 101 of May 30, 2003)

Public notice: Concerning partial amendment of the ministerial order which specifies technical standards for water supply facilities (April 8, 2008)



Health center: An organization established based upon the Community Health Act Ensures comprehensive promotion of regional public health measures

5. Challenges in Maintaining Universal Coverage



Numbers of water utilities according to population served (FY2002→FY2012)

5. Challenges in Maintaining Universal Coverage

Challenges Specific to Smaller Utilities

Water Supply
Development with slogan
"Nationwide full
coverage of water supply
service" in Japan.

Small and medium scale public water supply utilities contributed to progress of water supply coverage.

Small and medium scale public water supply utilities have problems concerning renewal, maintenance and management, etc.

Measures for solving problems

Planning of Water Supply Vision

Making of a master plan

Planning of Water Safety Plan

Ensuring continuity of safe water supply

Asset management

Correct recognition of current situation

Promotion of consolidation of water utilities

Consolidation with water supply utilities in adjacent areas



Water Supply System: from Water Sources to Distribution



No. T2 Ver. 1

Amagasaki Water Treatment Plant, **Hanshin Water Supply Authority**

2. Water Sources and Treatment System

It is better to select clean and safe water sources without microbial contamination.

Quantity

Meteorological water (rain water)

Spring water, stream water, groundwater

Surface water from dam reservoirs and rivers



Water source from mountain stream

Need to prevent microbial contamination.

Plentiful but contaminated
River water has been used in response to increased water demand.

(1)Chlorination

Chlorination is the most effective disinfection method for the drinking water supply.

The effectiveness of chlorination was recognized as countermeasure against deteriorated public health in Japan after World War II.

Chlorination has prevented waterborne diseases, which were caused by contamination of water sources.

Advantages

- Very effective against waterborne diseases
- Reliable disinfection
- Easy to operate
- Simple injection device
- Low cost

Disadvantages

- Toxicity
- Generation of disinfection byproducts
- Corrosion of equipment
- Over-reliance on chlorination may lead to neglect of water purification technology

Water Treatment Using Chlorine

Ammonia Removal (Break point chlorination)

Destruction of chloramines

Pre-chlorination

Generation of free chlorine

Generation of chloramines

Generation of chloramines

Chlorine dosing rate

Source: Ministry of the Environment, Government of Japan, http://www.env.go.jp/hourei/05/000188.ht ml Pre-chlorination to remove algae and ammonia(a)

Generation of chloramines (a)

Destruction of chloramines (b)

Break point chlorination

High injection rate to reduce the chloramine generate free chlorine (c)

Manganese Removal

Oxidation of manganese by chlorine

Rapid and certain removal by filtration of manganese sand, which has manganese dioxide coating.

The Standards of Chloride Concentration

After the World War II, GHQ prescribed the chlorine dosing rate based on their experiences in a developing country.

Residual chlorine at tap; 2.0 mg/l

It was too high in Japan, where hygiene conditions were rather well-maintained.

Rringing in the precedent

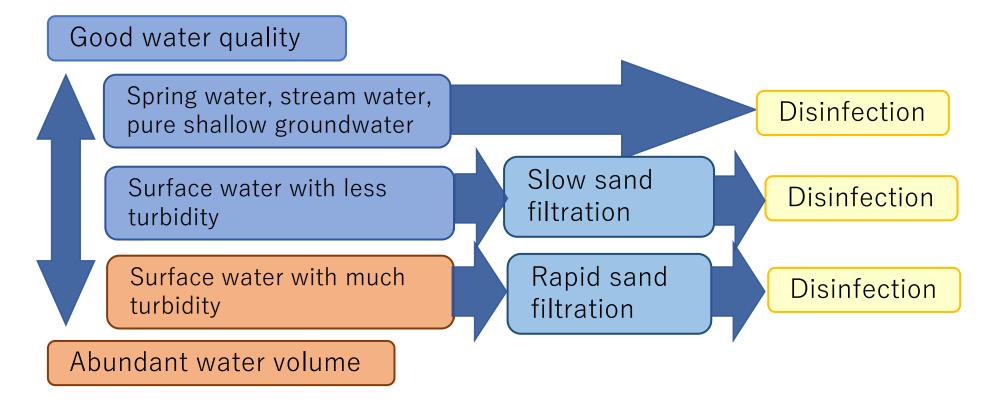
Bringing in the precedents without considering local situation

After independence, the Japanese government reduced the chlorine dosing rate.

Free residual chlorine at tap; 0.1 mg/l Combined residual chlorine; 0.4 mg/l

(2) Selection of Treatment Process

Water treatment facilities play a central role in water supply systems and its performance has a direct impact on the quality of the water supplied.



(3) Slow Sand Filtration

Slow sand filtration is suitable for relatively clean raw water.

It provides biological treatment when water passes through a gelatinous layer (or biofilm) which consists of algae and bacteria, etc.



sand filtration with filtration velocity 4 – 5 m/day (Japanese standards)



Advantages

- Simple facilities
- Less chemicals and electricity
- Removal of odor



Yanagasaki water treatment plant, Otsu City Public Enterprise Bureau

Source: Otsu city Public Enterprise Bureau, *Main water supply facilities* http://www.city.otsu.lg.jp/kigyo/about/water/1454032216393.html

(4) Rapid Sand Filtration

In the early days of construction of water supply systems in Japan, slow sand filtration was selected for treatment of small volumes of relatively clean raw water. In response to the increasing demand, coagulation, sedimentation, and rapid sand filtration became the mainstream treatment technology.

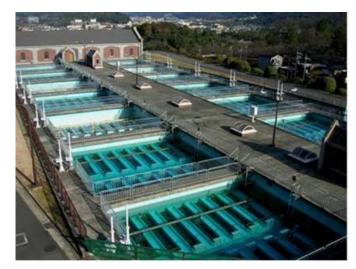
Economic growth caused a huge water demand

Intake points were moved downstream to get larger water volumes

High in contaminants and high turbidity

Advantages of RS filtration

- Adjusts to changes in turbidity
- Less space for facility
- Removal of highly concentrated ammonia



The first plant using rapid sand filtration in Japan(Keage WTP, Kyoto City)

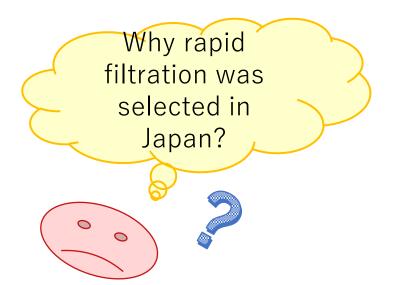
The Background of Widespread Application of Rapid Filtration

Smaller footprint than slow sand filters, making it possible to built in a small plots.

Disruption of river bed caused by digging for construction materials.

Increased levels of ammonia in source waters due to contamination beyond the ability of slow sand filtration.

It may be only a trend; it was the most advanced technology at that time.



(5) Advanced Water Treatment

Exacerbating pollution of source waters has reached to the levels that made it difficult to treat source waters by conventional water treatment processes designed for the removal of turbidity and disinfection. Japan has carried out a series of studies for the combination of various water treatment technologies to develop advanced water treatment technologies.

Ozonation

=Oxidize organic matter

Activated carbon adsorption

=Biological reactor

Removal of odor and organic substances improves drinking water quality.



Ozone contact basin

Tokyo Metropolitan Government Bureau of Waterworks: https://www.waterworks.metro.tokyo.jp/suigen/topic/13.html

(6) Membrane Filtration

Advantages of membrane filtration technology requires less land areas, low maintenance and minimal manpower because it is easy to control automatically.

The use of membrane filtration is expected to increase around the world in the future.

1994-1996 MAC 21 research project; Industry-government-academia collaboration

Membrane filtration technology continues to be developed.



Membrane filtration facilities, Water supply system for Kuroda area in Kyoto city, Waterworks Bureau, City of Kyoto

http://www.city.kyoto.lg.jp/suido/page/0000160981.html

5. Groundwater Use and Prevention of Land Subsidence

(1) Groundwater Withdrawal

In general, groundwater is clear and suitable as a drinking water source. But in some cases, it requires treatment to meet water quality guidelines.

Check water quality carefully because some groundwater is not good for drinking water.

Contaminated by Fe, Mg, As…or *E. Coli.*

Maintain and monitor the well regularly.



Groundwater source (Takizawa Village, Iwate Prefecture)

6. Distribution Systems

(1) Distribution Systems

The investment in distribution infrastructure such as service reservoirs, pump stations and pipelines is very costly and it accounts for 2/3 of the total capital cost of all the water supply facilities. Therefore, good planning of the distribution system based on the long-term perspective is very important.



Elevated tank, Hachinohe Region Water Supply Authority

For good planning,

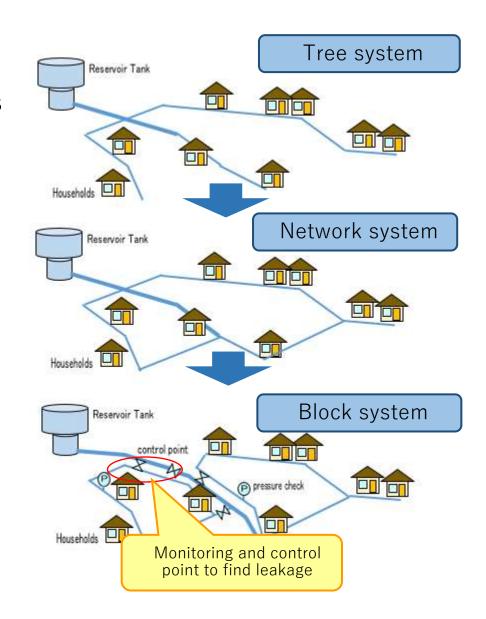
- Construct service reservoirs in high places
- Use elevated tanks when there is no suitable high place
- Plan the capacity of service reservoirs and distribution network based on the longterm water demand projection
- Understand pump technology and water hammer pressure to prevent critical damages to the system

6. Distribution Systems

(2) Pipeline Configuration

The planning policy for distribution pipelines has been changing gradually in Japan, from simple distribution to more sophisticated control of water distribution.

- 1st stage: Tree (dendritic) system for simple distribution
- 2nd stage: Network system to minimize the negative impact of accidents and ensure operational flexibility
- 3rd stage: Block system for
- (1) optimizing water pressure
- (2) clear picture of water supply operation
- (3) identification of accidental damage and provision of backup water supply



7. Engineering Design and Master Plans

(1) Importance of Facility Standards

The most important concept for water supply is to secure the safe supply of water through well-designed water facilities. The design standards to define the function and capacity of water facilities are specified in *the Water Supply Act*.

Need to acquire design methods and accumulate technical knowledge

Share know-how among senior engineers of major water utilities

Coordinated by Japan Water Works Association

Build up the *Design Criteria for Water Supply Facilities*

Update the *Design Criteria* on a regular basis

It has been complementing the design standards of *the* Water Supply Act.



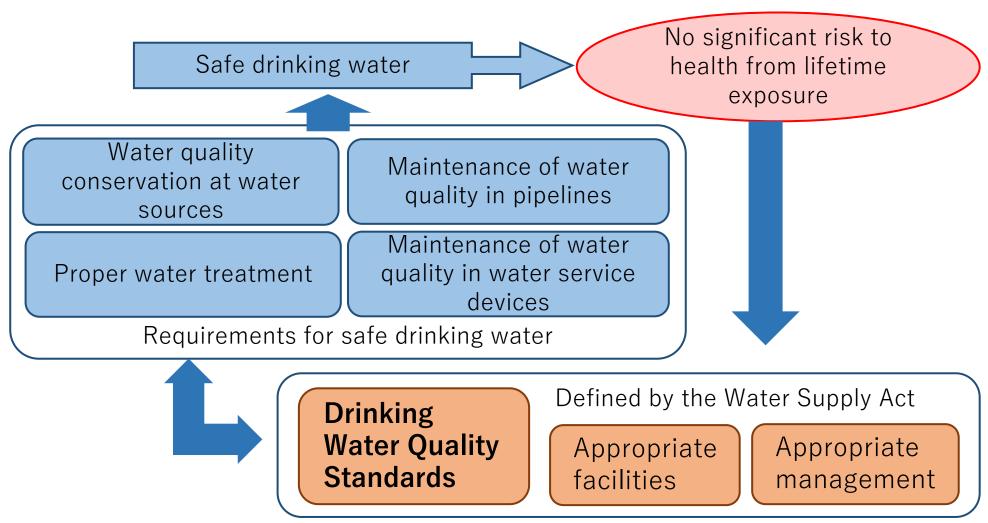
Water Quality Management



No. T3 Ver. 1

Water quality laboratory at Kitachiba Water Supply Authority (September 21, 2011)

- 2. Importance of Water Quality Management
- (1) History and Background of Water Quality Management



2. Importance of Water Quality Management

Column: Article 18 of the Water Supply Act

Customers of water supply

eligible for requesting water quality testing at tap

Water utilities

Emphasis on epidemiological safety of water supply

- Water Supply Act
- Drinking Water Quality Standards

Customer's right to request water quality testing

Awareness of responsibility by water utilities to provide safe water.

Integrated water quality management by water utilities

2. Importance of Water Quality Management

(2) Water Quality Management System

Water Utilities

Utilize data for future water quality control

Water source

Automatic measurement of turbidity, electrical conductivity, etc.

Detailed testing at least once a year

Water Treatment Plant

Automatic measurement of each process for appropriate treatment.

Distribution Reservoir Automatic measurement of turbidity, color and residual chlorine.

Taps

Daily testing for Turbidity, color and residual chlorine
Detailed testing once a month or once in three months

Ministry of Health, Labour and Welfare (MHLW) utilizes data for

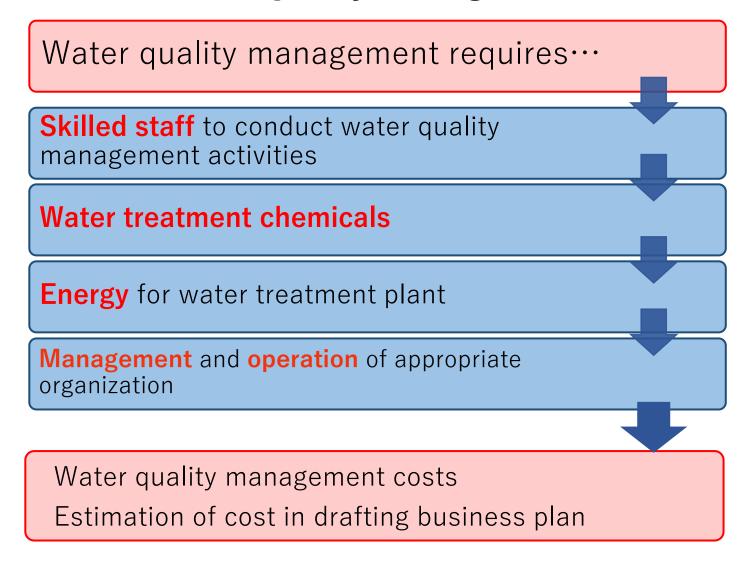
- Revising water quality standards
- Accuracy check

 (evaluation and ranking)
 of testing organizations

Testing organizations

- Water utilities
- Prefectural government
- Water quality testing organizations registered by MHLW

- 2. Importance of Water Quality Management
- (3) Cost of Water Quality Management



2. Importance of Water Quality Management

Small Scale Public Water Supply Using Good Quality Water

Sources

Small Scale Public Water Supply

- Limited financial capacity
- Understaffing

By using pristine spring water sources from the foot of the mountain, raw water is stored in an intake tank and only chlorinated before distribution.



Pristine water source

- Reduction of water treatment cost
- Easy water quality management

2. Importance of Water Quality Management

(4) Clear Responsibility for Water Quality Management

Appoint responsible person for water quality management

Water Supply Services
Technical Administrator
(Article 19)

Responsibilities

- Inspection of water supply facilities to meet technical standards
- Water quality examination
- Sanitary measures such as disinfection
- Water supply suspension

Establish administrative checking system

On-site inspection, guidance and supervision by a supervising authority (Article 36, Article 39 of the Water Supply Act)

On-site inspection, instruction for improvement and water supply suspension order by the national government or prefectural government

Assist small and medium scale water utilities whose capacity is limited

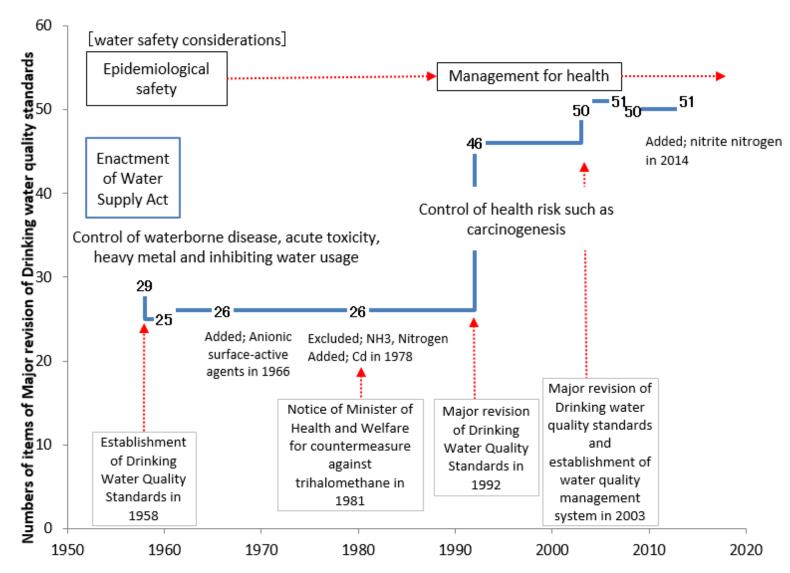
Support by health center

- Assessment of result of water quality examination
- Providing technical information

3. Drinking Water Quality Standards

(1) Formulation of Drinking Water Quality Standards

Drinking Water
Quality Standards
in Japan have
been developed
and modified
based on the new
knowledge on
toxic substances
and the technical
level of water
quality testing.



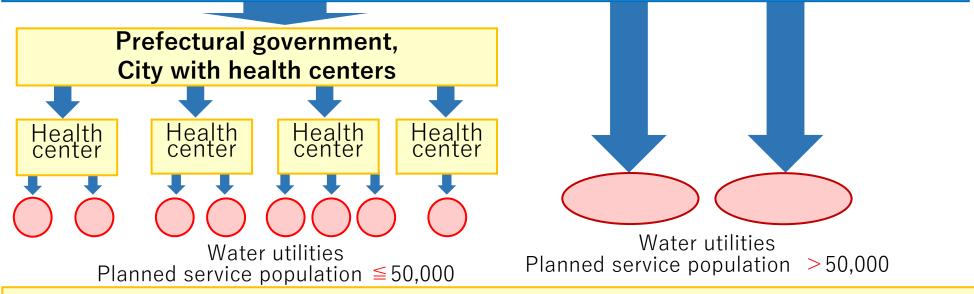
3. Drinking Water Quality Standards

(2) Notifications about Drinking Water Quality

National Government (Water Supply Division of the Ministry of Health, Labour and Welfare)

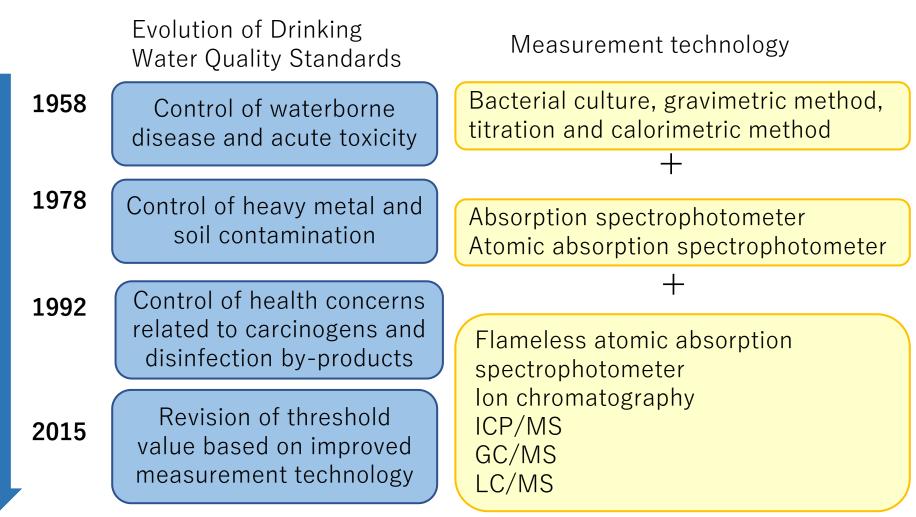
Article 39 of the Water Supply Act; Collection of reports and on-site inspection Article 36 of the Water Supply Act; Instruction for improvement, etc.

Article 14 of the Order for Enforcement of Water Supply Act; Delegation of authority to the prefectural governors



Health center; Organization established based upon Community Health Act Ensures comprehensive promotion of regional public health measures

(1) Water Quality Parameters and Testing Methods



(2) Responsibility for Water Quality Testing

How can
Small-Scale
Utilities
manage
water
quality
testing?

Water utilities must make their own arrangements for water quality testing

- 1. Establish a shared testing facility
- 2. Utilize external service such as health centers, etc.

Water quality testing by health centers became difficult as parameters stipulated in Drinking Water Quality Standards increased.

Increasing outsourcing to private testing facility registered by the Minister of Health, Labour and Welfare

Water utilities prepare a water quality monitoring plan, conduct daily tests (residual chlorine, color and turbidity) by themselves, judge the safety of water and guarantee water quality.

(3) Administrative Framework for Water Quality Testing

Contents of water quality monitoring plan

- 1. Specific water quality issues that require attention in the water quality monitoring plan
- 2. Items, sampling points and frequency for regular water quality testing
- 3. Items omitted from regular testing and the reasons
- 4. Items for extraordinary water quality testing and the reasons
- 5. Tests that will be outsourced, when water utilities send samples to health centers or private laboratories
- 6. Other issues to be considered, such as evaluation of the results, revision of the water quality monitoring plan, quality control, and reliability assessment

The national government or the prefectural government checks the water quality monitoring plan and recommends improvements where necessary.

People engaged in Water Quality Management

Manufacturers of material and equipment for water supply

- Maintenance in usual operations
- Measures in an emergency

Water utilities

- Technical administrator
- Sanitary engineers, mechanics, electricians, etc.
- Technicians of the laboratory
- Staff who monitor water quality at tap

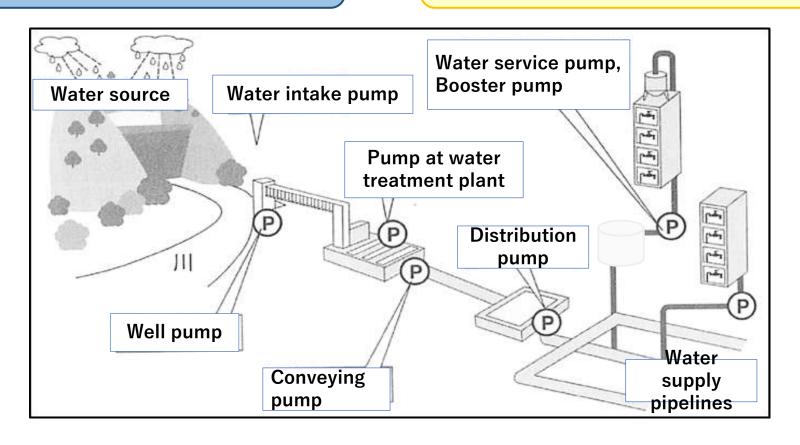
Administrative staff in government

- Providing new information about water quality
- Check for compliance of water quality management

5. Standards for Materials and Equipment for Water Supply

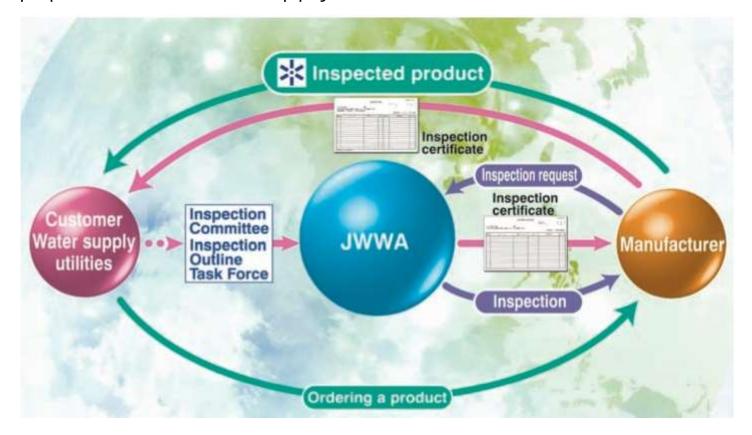
Water quality management from water source to each tap

Need to ensure quality of material and equipment for water supply



5. Standards for Materials and Equipment for Water Supply

Japan Water Works Association (JWWA) conducts inspection of material and equipment for water supply facilities



Japan Water Works Association, "*Profile Public Interest Incorporated Association Japan Water Works Association*," [Online] Available: http://www.jwwa.or.jp/jigyou/kaigai_file/JwwaProfile2015.pdf [Accessed 11 July 2016]

6. Preventing Deterioration of Source Water Quality

(1) Action taken in response to Changes in Source Water Quality

Year	Laws and systems	Issues of water quality management	Water supply coverage*
1954		Period of High Economic Growth	33%
1964	The River Act was enacted.		69%
1967		Water pollution by industrial wastewater,	72%
1970	The Water Pollution Control Act was enacted.		81%
1973		Contamination by household wastewater with the rise of water supply coverage	84%
1979		Trihalomethane problem Toxicity of water-bloom, odor of purified water	91%
1988	National subsidy for advanced water treatment started.		94%
1994	The Law concerning the Promotion of Projects	Countermeasure against disinfection by-products is required.	95%
2001	The Johkasou(decentralized treatment system) Law was amended.	Dissemination of combined Johkasou(decentralized treatment system)	97%
2014	The Basic Act on the Water Cycle was enacted.		98%

^{*}Water supply coverage is according to estimation until 1955 and "Water Supply Statistics" since 1956.

6. Preventing Deterioration of Source Water Quality

(2) Conservation of Water Catchment Forests

Under the Forest Act water utilities have made efforts to conserve the forests in the catchment area, to improve source water quality and quantity.

In order to protect water sources proactively, water utilities

- Procure forests in the upstream area
- Call for volunteers to take care of trees

Example of Catchment Forest Conservation by Some Utilities

Water utility	Location	Area
Tokyo Metropolis	Upstream of Tama River (Okutama machi and part of Yamanashi Prefecture), started in 1910 as the first attempt	23,000ha
_	Upstream of Doshi River (Yamanashi Prefecture), located outside Kanagawa pref.	2,873ha
Kagawa Prefecture	Upstream of Yoshino River (Kochi Prefecture) Subsidy for improvement cutting and tree thinning for water source forest.	_

6. Source Water Quality

(4) Practical countermeasures against water source pollution

- River management
- Flood control
- Sewerage

Ministry of Land, Infrastructure, Transport and Tourism

Conservation of water environmentMinistry of the Environment Waterworks
 Ministry of Health,
 Labour and Welfare

River

Industrial waterMinistry of Economy, Tradeand Industry

Agricultural water
 Ministry of Agriculture,
 Forestry and Fisheries

Two Laws concerning drinking water resources (enacted in 1994)

Ministry of Health, Labour and Welfare Act on Advancement of Project for Quality Management of Raw Water

Ministry of the Environment

Act on Special Measures concerning Water Quality Conservation at Water Resources Area in Order to Prevent the Specified Difficulties in Water Utilization

6. Source Water Quality

Background of the Basic Act on Water Cycle

Bottom-up movement for legislation from scholars, citizens, and politicians

- Scholars
 Citizens
- Diet members



- Insufficient legal framework to control groundwater
- Necessity for sound basin-wide water management

legislation by Diet members

Enactment of the bill in 2014



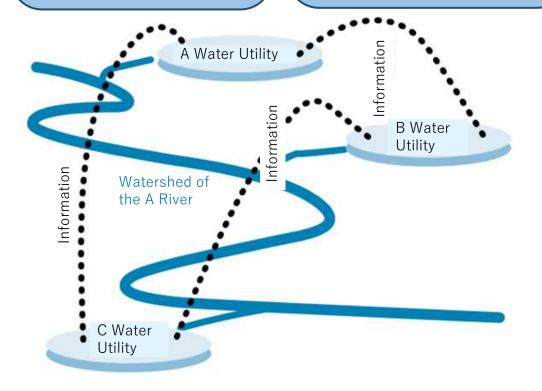
- Leadership by prime minister to coordinate line ministries
- Decision of "Water Cycle Basic Plan" each five years

6. Source Water Quality

Necessity of cooperation between upstream and downstream users

Councils of stakeholders for information sharing and dialogue, assembled in each watershed Cooperation between environmental administration and water supply administration in Prefecture

Water Safety
Plan based on
information of
watershed



Operation and Maintenance of Facilities



No. T4 Ver. 1

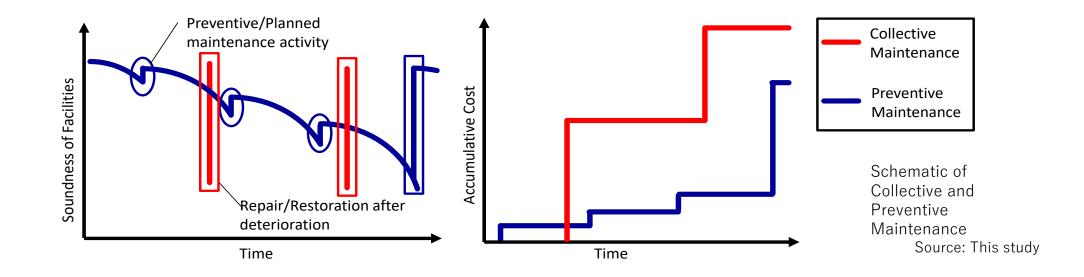
Source: JICA Training Course Material prepared by Sapporo City Waterworks Bureau (JICA Sapporo, 2015)

1. Introduction



Why maintenance is important?

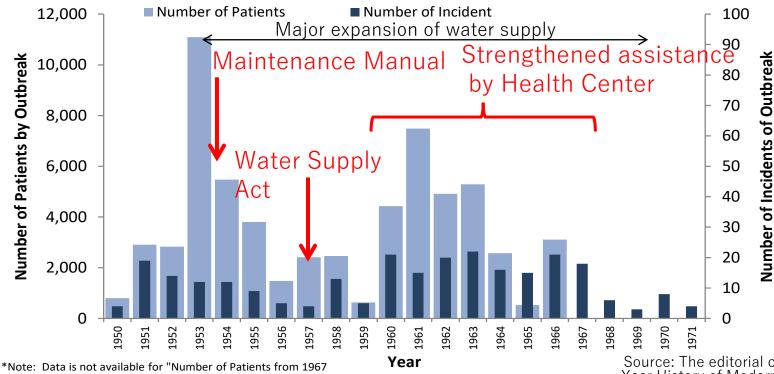
- Inadequate maintenance can cause operation fault and service deterioration
- Secondary disaster (e.g. road collapse by pipe burst, chlorine leakage)
- Service breakdown: poor water quality, reduced pressure, perception of reduced reliability, claims from customers.
- Higher life cycle cost: early deterioration of facilities



Item	Triggering events	Issues and causes
Water Treatment Facility	Poor disinfection (waterborne diseases spread by water supply)	No standard manuals nor operational procedures for O&M
	Malfunction of facility and failure of water treatment	
Pipelines	Contamination due to negative pressure (waterborne diseases spread by water supply)	No precise information nor drawings on aged pipelines
	Secondary disaster due to burst pipes	
	Public complaint of rusty/turbid water	
	Dysentery caused by cross connection	Quality control for installation of water service connections

(1) Disease Outbreaks

- Outbreaks increased when water supply coverage was expanded to rural areas where many small utilities were built (since the 1950s).
- Cases were dramatically decreased by intensive O&M measures promoted by *Water Supply Facilities Maintenance Manual* in 1953.



Source: The editorial committee of the One Hundred Year History of Modern Water Supply, "One Hundred Year History of Modern Water Supply," Nihon Suido Shimbunsha. 1988.

Causes of Outbreaks of Waterborne Diseases

42%: Lack or failure of disinfection facilities

27%: Contamination in pipelines *



1950s~1960s: Focus on Operation and Maintenance

- Laws and Regulations
- Guidelines
- Best practices and dissemination throughout the country



1970s: Outbreaks of waterborne diseases caused by water supply system were suppressed.

^{*} caused by water supply interruption, cross contamination etc.

(2) Cross Connection

Serious Accident of Cross Connection in 1969

It was found that a water distribution pipe had been connected to an industrial water pipe by mistake of pipe installation work. People had been drunk industrial water for one year.

Causes

- Drawings and documentation not properly archived
- No appropriate construction supervision
- No water quality testing after construction

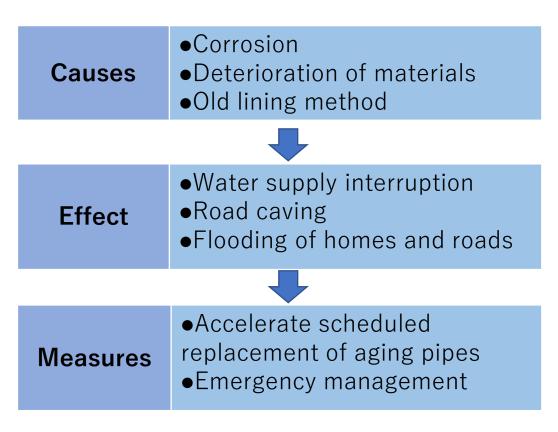
Corrective measures

- Strengthening of construction supervision
- Records of construction
- Registration of drawings
- Strengthening of completion inspection
- Testing for residual chlorine

Great impact on both the citizens and utilities

(3) Pipe Bursts

Pipe materials deteriorate with age





Source: JWWA, "Casebook of Water Supply Accidents for Practical Use," 2008.

Note: Photo is modified from original (indicated in red)

Information sharing among utilities across Japan

 Annual academic conference held in Japan Water Works Association General Assembly

 Casebook of Water Supply Accidents for Practical Use



Japan Water Works Association General Assembly Meeting



JWWA, "Casebook of Water Supply Accidents for Practical Use," 2008.

Improved Management of Pipelines

Laws and regulations were enforced in response to the accident.

Year	Events Related Leakage Control in Japan
1945	End of World War II (pipeline damage by war)
1946	Water Leakage Prevention Guidelines (Ministry of Health and Welfare, Japan Water Works Association)
1950s	Aged pipelines installed before the war and deterioration of pipes of poor material manufactured during the war.
1960	Revision of the Water Leakage Prevention Guideline (Bureau of Waterworks, Tokyo Metropolitan Government water leakage prevention committee)
1960	Notice of the Ministry of Health and Welfare: on water leakage prevention measures
Around 1970	Media reports on rusty water causing public concern.
1970	Notice of the Ministry of Health and Welfare: on pipeline repair and replacement to prevent leakage and removal of rusting pipes
1977	Guidelines for Water Leakage Preventive Measures

3. Laws and Institutional Framework

Legal Basis on Maintenance

- The Water Supply Act clearly stipulates the importance of abiding by the maintenance and facility standards.
- Japan Water Works Association published *Design Criteria for Water Supply Facilities* and *Water Supply Facilities Maintenance Manual*.

Water Supply Act

"Article 5, 2 ... In determining the location and arrangements of water supply facilities, it is necessary to make their construction, operation and maintenance as economically and easily as possible, and to give consideration to assurance of water supply...



Based on the Act, technical standards are developed & regularly updated.

Technical standards

3. Laws and Institutional Framework

Chronology of Laws and Regulations

1953: Water Supply Facilities Maintenance Manual

1955: Water Supply Facilities Standards

1957: Water Supply Act was enacted

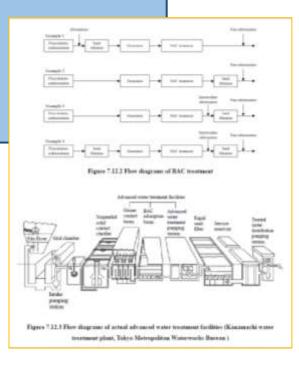
1964: Revision

1970: Revision

1982: Revision

1998: Revision

2006: Revision



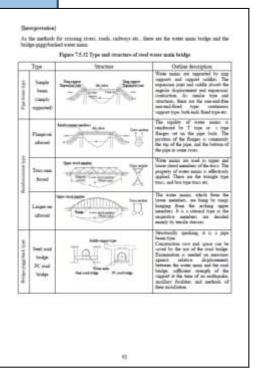
1966: The Design Criteria for

Water Supply Facilities

1977: Revision

1990: Revision

2012: Revision



- 4. Best Practices in Japan
- (1) Corrective and Preventive Maintenance



Repaired or replaced when the problem was discovered

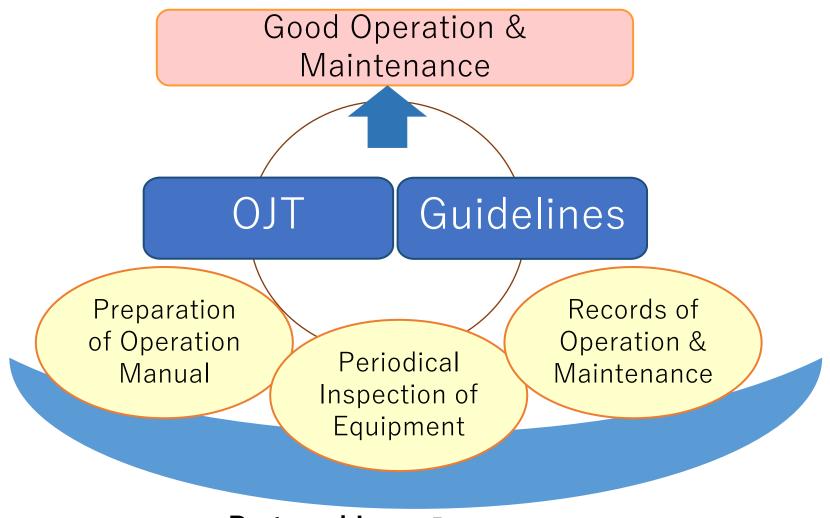


Preventive Maintenance

Planned renewal of facilities

Asset Management

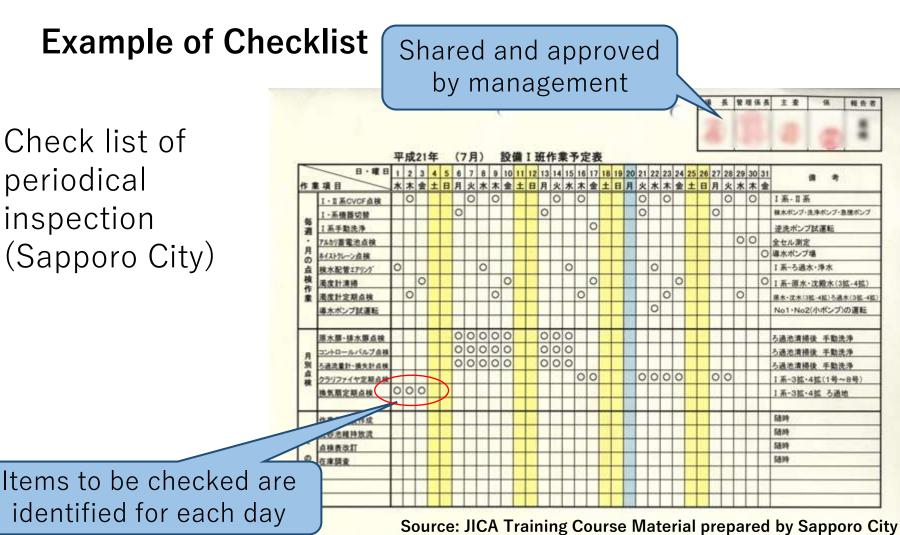
(2) Maintenance in Water Treatment Plants



Partnerships to Private Sector

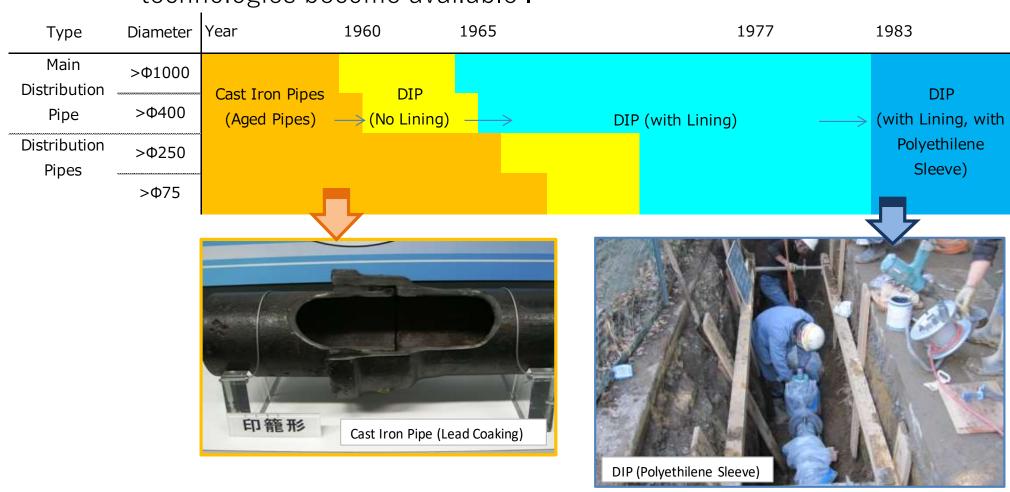
Waterworks Bureau (JICA Sapporo, 2015)

4. Best Practices in Japan



(3) Pipeline Maintenance

Materials for distribution pipelines change as new materials and technologies become available.



(4) Construction Quality Management

Standardization and Replacement of Lead Pipes

- Lead pipes were historically widely used but are now intensively replaced because of negative health effect and leakage problems.
- Intensive replacement and standardization will prevent future problems.

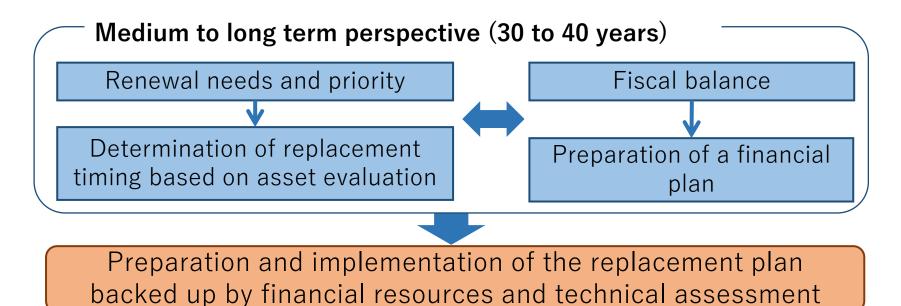
Year	Change in standards for lead pipes
1928	Standards were set for lead pipes for water supply in Japan.
1990	Lining of lead pipes with zero elution were added to the standards.
1993	Based on the revision of the Water Quality Standards, the traditional unlined lead pipes were removed from the standards.

Asset Management

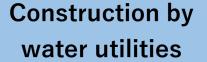
Leakage management and pipe replacement are dealt with cohesively under "Asset Management."

Key features of asset management:

- (1) Consolidated information on facilities
- (2) Facility assessment and evaluation
- (3) Understanding of replacement needs and priorities
- (4) Clear outlook on fiscal balance



Designated Prequalified Contractors and the Registration System for the Contractors for Service Connection



Increased construction activities after Great Kanto Earthquake in1923 and the end of WWII in1945

- Using inappropriate materials
- Poor construction
- Need for standards to be set for materials and installation of service connections
- Necessity for ensuring rapid repair of burst pipes

Registered (private) contractors for the installation for service connections

Financial Management:

Finance and Tariffs



No. T6 Ver. 1

Municipal Bond issued by Kyoto City in 1909 Source: Kyoto City Waterworks Bureau

2. Financing Water Supply Development

(3) Subsidies for Small Scale Public Water Supply

The Water Supply Division of the Ministry of Health and Welfare (MHW) persuaded the Finance Division of MHW to establish the subsidy by stating that "the costs of improving water supply would be offset by economic benefits such as a reduction in health care costs."



Subsidies for Small Scale Public Water Supply System in rural areas started in 1952.



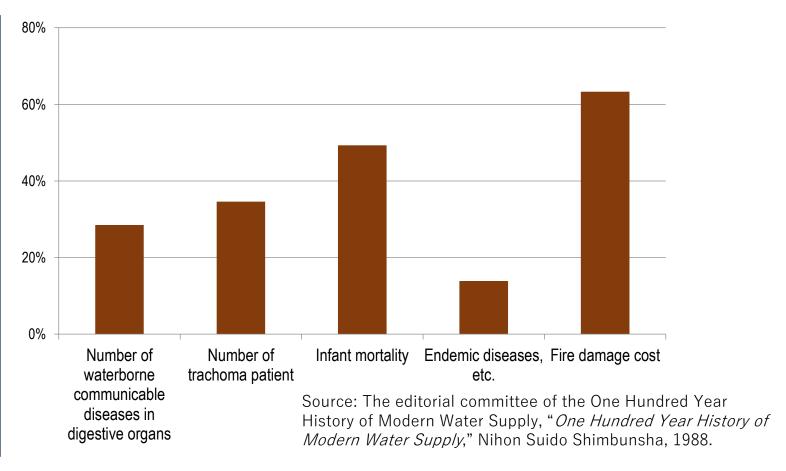
180 Small Scale Public Water Supply System were developed in 1952. After that, 500 Small Scale Public Water Supply System were developed every year.

2. Financing Water Supply Development

Benefit of Rural Water Supply Development

Benefit of installing water supply facilities after 5 years of operation:

- Decrease of waterborne diseases
- Decrease of infant mortality rate
- Decrease of damages from fires
 etc.



Reduced Incidence of Diseases and Infant Mortality, etc.

(Information presented by the Water Supply Division of MHW in 1957. 100% represents the level that existed before construction.)

2. Financing Water Supply Development



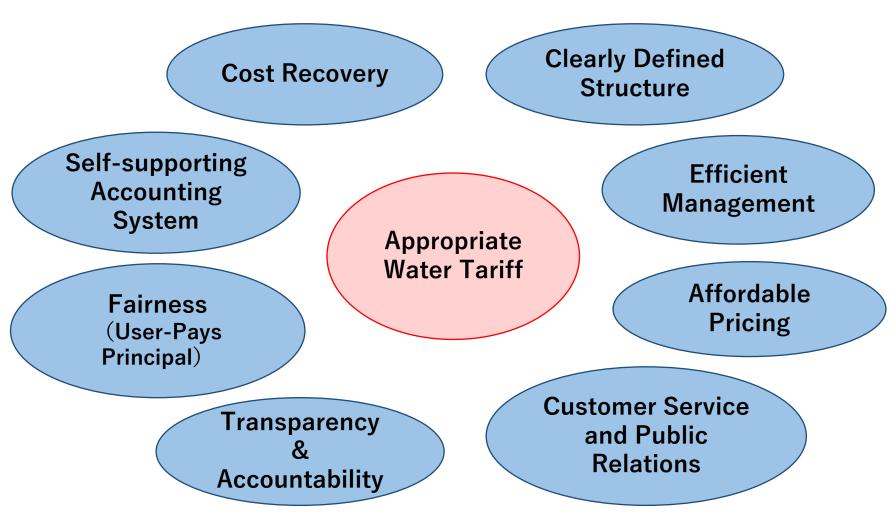
Volunteer work by villagers for Small Scale Public Water Supply Development

Villagers paid their share of the costs by selling their trees

Source: Susumu Hani, the film "Water in Our Life," 1952

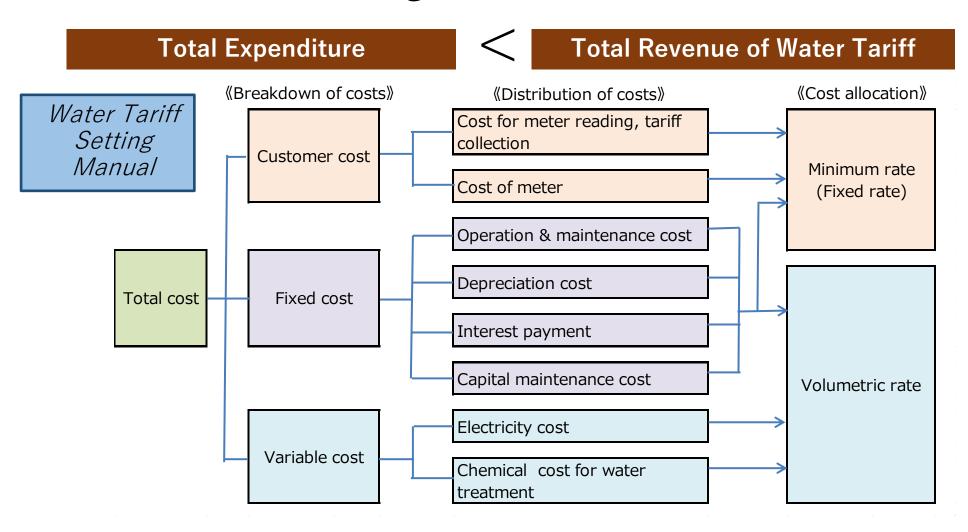
3. Water Tariff Setting

Main Components for Water Tariff Setting in Japan



3. Water Tariff Setting

(2) Water Tariff Setting Manual



3. Water Tariff Setting

(4) Transparency & Accountability, Public Relations

Water Utility

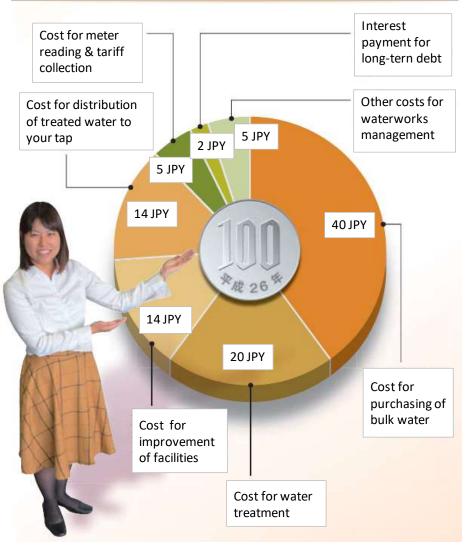
Disclosure of financial information

Customer

Understanding of financial condition

Japan's Experiences on Water Supply Development

How your 100 JPY is spent as water tariff?



Source: Kawanishi Water and Sewer Bureau, *Water Supply and Sewerage in Kawanishi: Secure for Drinking, Comfortable for Using*, 2015, http://www.kawanishi-water.jp/ikkrwebBrowse/material/files/group/2/h27-12-1.pdf

Institutional Management:

Governance, Human Resources Development, Consolidation of water utilities, Public-Private Partnerships

> "Let's drink tap water with the whole family"

No. T7 Ver. 1

Poster of 58th Water Week in 2016 Source: Japan Water Works Association

1. Introduction

Water Supply Administration

Ministry of Internal
Affairs and
Communications
(MIC): Local Public
Enterprise Act
Administrative matters

Ministry of Health, Labour and Welfare (MHLW): Water Supply Act **Technical matters**

Water Utilities under Local Governments

2. Governance

(1) Roles of the Government, Water Utilities and Citizens

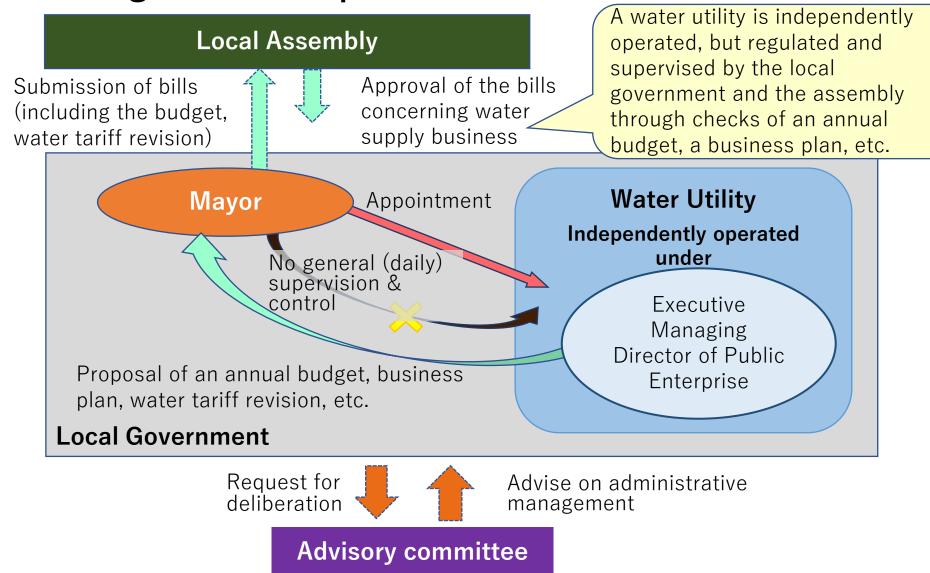
Goal: Water supply for public health and good living environment



- Basic and comprehensive policies on water resources development and water supply development
- Technical and financial support to water utilities
- Policies/plans on water supply development, proper and reasonable water use, conservation of water sources and maintenance of water supply facilities
- Implementation of those plans
- Appropriate and efficient management of waterworks
- Cooperation with national and local policies
- Proper and reasonable water use

2. Governance

Regulation & Supervision of Water Utilities



2. Governance

(2) Roles of Executive Managing Director & Technical Administrator

Administrative Management

Executive Managing Director of Public Enterprise

- Establishing necessary sections and/or department
- Taking charge of employment/dismissal of employees, wages, work hours and other working conditions, punishment, training, etc.
- Preparing a draft of the budget and the settlement of accounts
- Preparing data for a motion to the local assembly
- Acquiring, managing and disposing assets
- Concluding agreements/contracts
- Collecting tariff, fees other than tariff, contribution, and connection charges, etc.
- Carrying out temporary borrowing

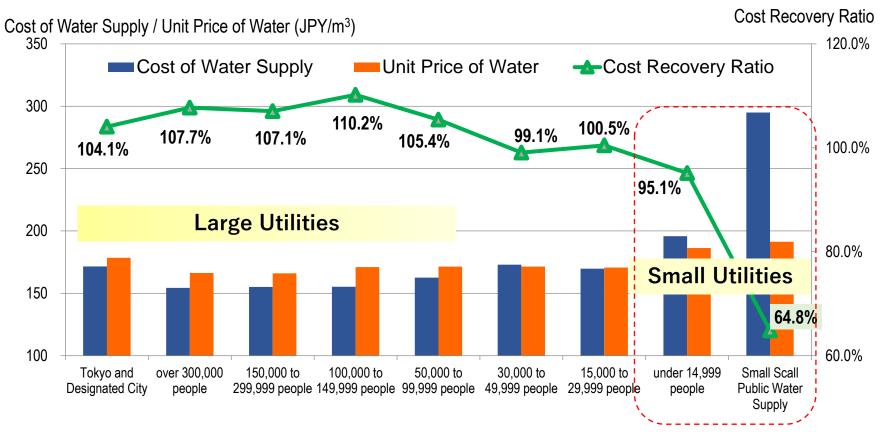
Technical Management

Technical Administrator

- Inspecting water supply facilities based on the standards for facilities
- Conducting water quality inspection and facility inspection
- Conducting inspection of the structure and material of service connections
- Health checkups
- Emergency suspension of water supply

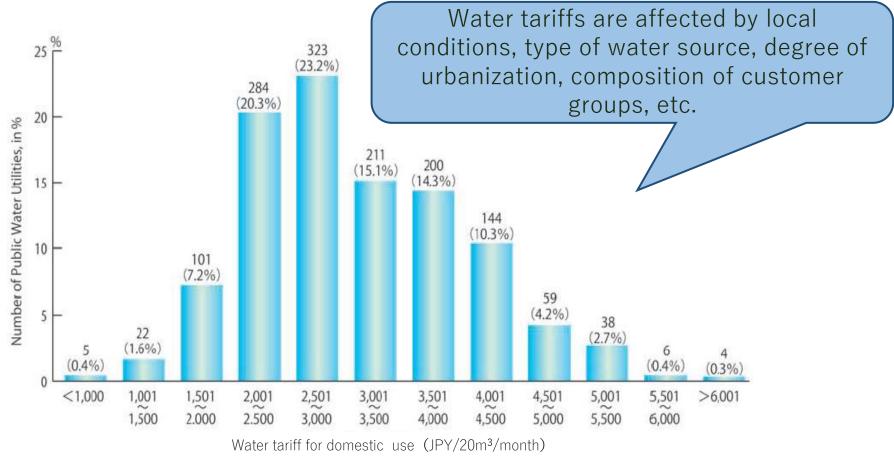
Water utilities have been properly managed under the technical administrators and the executive managing directors of public enterprises

(1) Challenges of Small & Medium Water Supply Management



Source: Created from the data of "Survey of financial status of local public enterprises, FY 2014"

Cost recovery in water supply business by size of operation (2014)



Source: JWWA, *Comfortable Life with Water Supply and Transition of Water Supply Volume*, http://www.jwwa.or.jp/shiryou/water/water.html

Tariff Differential among Water Utilities

(2) Towards Regional Collaboration

1960s

Rapid increase of water demand, construction costs and water tariffs, deterioration of water sources, inadequate operation and maintenance of small scale water supply

1966

System of "A joint public services authority" was introduced

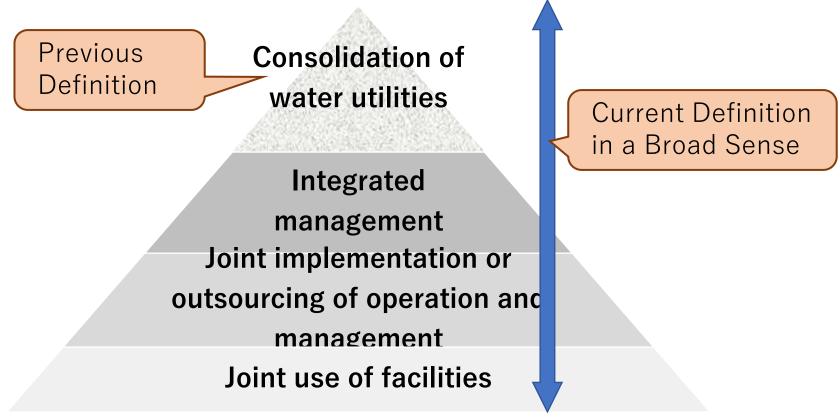
1977

Regional Planning for Water Supply Services was included in Water Supply Act

2000s

Expansion of the concept on regional collaboration

Previous and New Definition on Regional Collaboration of Water Utilities



Source: JWWA "Guidelines for the Consideration of Broadening of Water Supply: For the Promotion of Water Supply Vision"

(3) Constraints on Consolidation There are constrains to be overcome in order to consolidate utilities

